Art Unit: 3621

CLMPTO

VRS

10/5/04

1. (Amended) A computer system useful for evaluating trading interests, comprising:

a buyer terminal having an output device for presenting offerings of the interests

and an input device for entering one or more investment criteria; and

a computer coupled to the buyer terminal, a data store coupled to the computer

including offerings of a piurainty of trading parties, the computer including programming

for selectively identifying from the data store offerings of the plurality of trading parties

responsive to the investment criteria, the computer providing information related to

identified offerings responsive to the investment criteria [of all] from a physlity of

trading parties for presentation together on the output device.

(Amended) The computer system as set forth in claim 1, wherein the

computer provides to the buver terminal for presentation on the output device a

presentation, in an integrated fashion, of information related to identified offerings of at

least two of the plurality of trading parties.

Page 2

Art Unit: 3621

3. (Amended) The computer system as set forth in claim 1, wherein the

computer provides to the <u>buyer terminal for presentation on the</u> output device a

presentation of information related to identified offerings in a summary view, the

summary view including a count of responsive offerings of each [of the plurality of]

trading [parties] party presented on the output device.

4. The computer system as set forth in claim 3, wherein responsive to a selection

entered via said input device identifying a trading party presented in the summary view, the

Page 3

Art Unit: 3621

computer provides a listing of all offerings from the data store of the one selected trading party for presentation on the output device.

- 5. The computer system as set forth in claim 1, wherein the investment criteria includes buyer-defined values for terms of the offerings.
- The computer system as set forth in claim 1, wherein the investment criteria 6. includes buyer-defined values for at least one of maturity, size, yield, credit ratings and trading party of the offerings.
- 7. The computer system as set forth in claim 1, wherein the investment criteria includes parameters that specify an order for presenting offerings information retrieved from the data store,
- The computer system as set forth in claim 1, wherein the computer system 8. includes memory for storing the investment criteria, the computer upon command selectively identifying the offerings in the data store based on investment criteria stored in the memory.
- The computer system as set forth in claim 1, where the computer comprises 9. programming for selectively granting buyers authority to view offerings of the trading parties, and for selectively preventing retrieval and display of offerings to unauthorized buyers.

Art Unit: 3621

10. The computer system as set forth in claim 1, wherein the computer comprises

programming for selectively granting buyers authority to execute offerings of dealers.

11. The computer system as set forth in claim 1, wherein the computer comprises

programming for selectively limiting access to offerings of predetermined ones of the plurality of

trading parties.

12. In a computer system for trading interests including a plurality of buyer terminals

having an output device and an input device, and a central computer linked to the buyer

terminals by a communication system, the improvement comprising a data store coupled to the

central computer including offerings of a plurality of trading parties, the central computer

including programming for selectively identifying from the data store offerings of a plurality of

trading parties responsive to at least one investment criterion entered via an input device of a

buyer terminal, the central computer transmitting to the buyer terminal for presentation on the

output device the information related to identified offerings of each trading party having an

offering responsive to the at least one investment criterion.

Page 5

Art Unit: 3621

plurality of buyer terminals having an output device and an input device, and a central computer linked to the buyer terminal by a communication system, the improvement comprising a data store coupled to the central computer including offerings of a plurality of trading parties, the central computer including programming for selectively identifying from the data store offerings of a piurality of trading parties responsive to at least one investment criterion entered via an input device of a buyer terminal, the central computer transmitting to the buyer terminal for presentation on the output device the information related to identified offerings[, including a count of identified offerings] of each [of] trading party having an offering responsive to at least one said investment criterion for presentation together on a same page view.

14. A method for evaluating trading interests, comprising:

entering at least one investment criterion into a computer system in which are stored trading interests of a plurality of parties;

retrieving information relating to offerings of the interests from a plurality of trading parties responsive to the at least one investment criterion; and

presenting, in an integrated fashion, the retrieved offerings information of the plurality of trading parties.

- 15. The method as set forth in claim 14, comprising for each trading party for which offerings information was retrieved, presenting a count of the retrieved offerings.
 - The method as set forth in claim 14, comprising;

sorting the retrieved offerings information in accordance with sorting information included within the investment criteria, wherein the step of presenting includes presenting the retrieved offerings information in the sorting information.

- 17. The method as set forth in claim 14, comprising storing entered investment criteria and upon request, retrieving the stored investment criteria and applying the retrieved investment criteria to again retrieve offerings of the plurality of dealers.
- 18. The method as set forth in claim 13, comprising a trading party granting authority to a buyer to view offerings information of the trading party and preventing retrieval and display of offerings information of the trading party to unauthorized buyers.

Art Unit: 3621

19. The method as set forth in claim 13, (in combination with a (Amended) method for trading offerings,] comprising the step of a trading party granting authority to a buyer to execute offerings of that trading party.

- The method as set forth in claim 13, comprising the step of a buyer limiting that 20. buyer's access to offerings of predetermined ones of the plurality of trading parties.
 - A method for electronically evaluating offerings of interests, comprising: 21. entering one or more investment criteria;

identifying offerings of the interests from a plurality of trading parties responsive to the investment criteria on a party by party basis; and

presenting information relating to identified offerings of each of the plurality of trading parties in an integrated fashion on a party by party basis.

A method for electronically evaluating interests, comprising: 22.

entering one or more investment criteria, the investment criteria including presentation preferences;

Art Unit: 3621

23. (Amended) A method for electronically trading interests, comprising: entering one or more investment criteria;

identifying offerings of the interests from a plurality of trading parties responsive to the investment criteria;

presenting, in an integrated fashion, information related to identified offerings of the plurality of trading parties; and

selecting an offering for which information is presented for the purpose of trading that offering [; and

completing a trading order for the selected offering).

24. (Amended) In a computer system for trading [short term] interests including a plurality of buyer terminals, a plurality of dealer and/or issuer terminals and a central computer linked to the buyer terminals and the dealer and/or issuer terminals by a communications system, the improvement comprising memory accessible by the central computer in which is stored information of specific dealers or issuers authorizing presentation of offerings information of the specific dealer or issuer on a specific buyer terminal, the computer including programming which provides offerings information only to authorized buyer terminals.

25. (Amended) In a computer system for trading [short term] interests including a plurality of buyer terminals, a plurality of dealer and/or issuer terminals and a central computer linked to the buyer terminals and the dealer and/or issuer terminals by a communications system, the improvement comprising memory accessible by the central computer in which is stored information of specific dealers or issuers authorizing presentation of offerings information of the specific dealer or issuer on a specific buyer terminal, and information of specific dealers or users authorizing the specific buyer terminal to execute a trade on an offering about which information is authorized to be presented on that buyer terminal, the computer including programming which provides offerings information only to authorized buyer terminals for execution [and which executes trades requested] only by authorized buyer terminals.

Art Unit: 3621

26. (Amended) In a computer system for truding [short term] interests including a plurality of buyer terminals, a plurality of dealer and/or issuer terminals and a central computer linked to the buyer terminals and the dealer and/or issuer terminals by a communications system, the improvement comprising memory accessible by the central computer in which is stored information of buyers authorizing presentation of offerings information of specific dealers or issuers on a respective buyer terminal, the computer including programming which provides offerings information only of authorized dealers or issuers to the respective buyer terminal.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
•

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.